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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|----------------|----------------------|-------------------------|------------------|
| 09/535,559 | 03/27/2000 | Rabindranath Dutta | AUS000060US1 | 3609 |
| 7: | 590 05/08/2003 | | | |
| BRACEWELL & PATTERSON, L.L.P. INTELLECTUAL PROPERTY LAW P.O. BOX 969 AUSTIN., TX 78767-0969 | | | EXAMINER | |
| | | | RUDY, ANDREW J | |
| AUSTIN,, 1A 78/07-0909 | | | ART UNIT | PAPER NUMBER |
| | | | 3627 | |
| | | | DATE MAILED: 05/08/2003 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 07-01)

| | Application No. | Applicant(s) | | | | |
|---|-----------------------------------|---|--|--|--|--|
| Office Action Summer | 09/535,559 | DUTTA, RABINDRANATH | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| The MAILING DATE of this communication and | Andrew Joseph Rudy | 3627 | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - if the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - if NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status | | | | | | |
| 1) Responsive to communication(s) filed on <u>17 J</u> | <u>anuary 2003</u> . | | | | | |
| 2a)⊠ This action is FINAL . 2b)□ Thi | s action is non-final. | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | | |
| closed in accordance with the practice under <i>I</i> Disposition of Claims | ≣x parte Quayle, 1935 C.D. 11, 4 | 153 O.G. 213. | | | | |
| 4)⊠ Claim(s) <u>5-7,11-14,19 and 20</u> is/are pending in the application. | | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6)⊠ Claim(s) <u>5-7,11-14,19 and 20</u> is/are rejected. | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | |
| 8) Claim(s) are subject to restriction and/or election requirement. | | | | | | |
| Application Papers | | | | | | |
| 9)∐ The specification is objected to by the Examiner. 10)⊠ The drawing(s) filed on <u>17 January 2003</u> is/are: a)⊠ accepted or b)⊡ objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the | • • • | • | | | | |
| 11) The proposed drawing correction filed on | = ' ' | • • | | | | |
| If approved, corrected drawings are required in reply to this Office action. | | | | | | |
| 12)☐ The oath or declaration is objected to by the Examiner. | | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | | |
| 1. Certified copies of the priority documents | have been received. | | | | | |
| 2. Certified copies of the priority documents have been received in Application No | | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified expires not received. | | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). | | | | | | |
| $_$ a) \Box The translation of the foreign language prov | visional application has been rec | eived. | | | | |
| 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. | | | | | | |
| Attachment(s) 1) Notice of References Cited (RTO 902) | | | | | | |
| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449) Paper No(s) | 5) Notice of Informal F | / (PTO-413) Paper No(s) Patent Application (PTO-152) | | | | |

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DETAILED ACTION

1. Claims 5-7, 11-14, 19 and 20 are pending. Claims 1-4, 6-10 and 15-18 have been cancelled by the Applicant.

Drawings

- 2. The proposed drawing correction and/or the proposed substitute sheets of drawings, filed on 17 January 2003 have been approved.
- 3. Applicant's REMARKS have been reviewed. The rejections from Paper No. 5

 (Applicant's copy may inadvertently been labeled a second Paper No. 3), mailed December 3,
 2002 are withdrawn.

Claim Rejections - 35 USC § 103

4. Claims 5-7, 11-14, 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Roderick, US 6,122,648.

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Roderick discloses a dynamically generated e-commerce database 100 comprising server, e.g. 108, 400, read only memory 412 and document identifier (ID), e.g. col. 4, lines 20+, having means for receiving an electronic document, generating the ID and transmitting the document to each client associated with each e-commerce transaction. Though not specifically identified as a "depository" the database of information of Roderick may reasonably be viewed as such. To use the electronic database as a depository for electronic documents for Roderick would have been obvious to one of ordinary skill in the art. Doing such would implement well known depository database collections in an e-commerce environment.

5. The Dey et al., USP Nos. 6,490,580 and 6,493,707 along with the Gould article "Interlending and document supply: a review of recent literature – XXXIV", e.g. page 4 of 9, are considered pertinent to Applicant's disclosure. See enclosed PTO-892.

Conclusion

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

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the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andrew Joseph Rudy whose telephone number is 703-308-7808. The examiner can normally be reached on Tuesday thru Friday, 7:30 a.m until 6 p.m..

The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-7687 for regular communications and 703-305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

Alchard Chilcot
Sching Supervisory Patent Examination